



PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/648,847
Filing Date	August 25, 2000
First Named Inventor	Robert Mays, Jr.
Art Unit	2633
Examiner Name	Shi K. Li
Attorney Docket Number	MYS-00-02-02

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Total Number of Pages in This Submission

19

ENCLOSURES (Check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Amendment/Reply
<input checked="" type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/
Incomplete Application
<input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input checked="" type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication
to Technology Center (TC)
<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please
Identify below):
Form 1449 - one reference
Return Postcard to Kenneth C. Brooks |
|---|---|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth C. Brooks
Signature	
Date	June 14, 2004

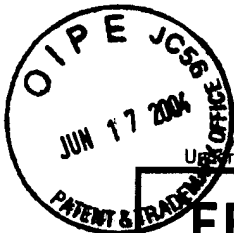
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Alexis Sheffield
Signature	
Date	June 14, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/648,847
Filing Date	August 25, 2000
First Named Inventor	Robert Mays, Jr.
Examiner Name	Shi K. Li
Group Art Unit	2633
Attorney Docket No.	MYS-00-02-02

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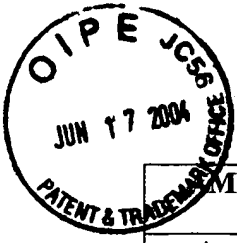
Technology Center 2600

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES	
Deposit Account Number: 500345		Large Entity Fee Code (\$)	
Deposit Account Name: Kenneth C. Brooks		Small Entity Fee Code (\$)	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Fee Description	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Fee Paid	
2. <input type="checkbox"/> Payment Enclosed:		105 130 205 65 Surcharge - late filing fee or oath	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
FEE CALCULATION		139 130 139 130 Non-English specification	
1. BASIC FILING FEE		147 2,520 147 2,520 For filing a request for ex parte reexamination	
Large Entity Fee Code (\$)		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
Small Entity Fee Code (\$)		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Fee Description		115 110 215 55 Extension for reply within first month	
Fee Paid		116 390 216 195 Extension for reply within second month	
101 710 201 355 Utility filing fee		117 890 217 445 Extension for reply within third month	
106 320 206 160 Design filing fee		118 1,390 218 695 Extension for reply within fourth month	
107 490 207 245 Plant filing fee		128 1,890 228 945 Extension for reply within fifth month	
108 710 208 355 Reissue filing fee		119 310 219 155 Notice of Appeal	
114 150 214 75 Provisional filing fee		120 310 220 155 Filing a brief in support of an appeal	
SUBTOTAL (1) (\$ 0.00)		121 270 221 135 Request for oral hearing	
2. EXTRA CLAIM FEES		138 1,510 138 1,510 Petition to institute a public use proceeding	
Total Claims		140 110 240 55 Petition to revive - unavoidable	
Independent Claims		141 1,240 241 620 Petition to revive - unintentional	
Multiple Dependent		142 1,240 242 620 Utility issue fee (or reissue)	
Extra Claims		143 440 243 220 Design issue fee	
Fee from below		144 600 244 300 Plant issue fee	
Fee Paid		122 130 122 130 Petitions to the Commissioner	
Large Entity Fee Code (\$)		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
Small Entity Fee Code (\$)		126 180 126 180 Submission of Information Disclosure Stmt	
Fee Description		581 40 581 40 Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
102 80 202 40 Independent claims in excess of 3		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
104 270 204 135 Multiple dependent claim, if not paid		179 710 279 355 Request for Continued Examination (RCE)	
109 80 209 40 **Reissue independent claims over original patent		169 900 169 900 Request for expedited examination of a design application	
110 18 210 9 **Reissue claims in excess of 20 and over original patent		Other fee (specify) Terminal Disclaimers (2)	
SUBTOTAL (2) (\$ 0.00)		SUBTOTAL (3) (\$ 320.00)	
** or number previously paid, if greater; For Reissues, see above			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Kenneth C. Brooks	Registration No. (Attorney/Agent)	38,393
Signature		Telephone	(512) 527-0104
		Date	June 14, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.



AMENDMENT TRANSMITTAL LETTER Under Small Entity Status		Attorney Docket Number: MYS-00-02-02	
Application Serial Number: 09/648,847	Filing Date: 08/25/2000	Examiner: Shi K. Li	Group Art Unit: 2633

Invention: Shared Multi-Channel Parallel Optical Interface

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	NO. OF EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20	MINUS	20	0	\$ 9.00	\$ 0.00
INDEP. CLAIMS	3	MINUS	3	0	\$ 43.00	\$ 0.00

X Petition is hereby made under 37 CFR 1.136(a) to extend the time for response to the Office Action of 01/16/2004 to and through 06/14/04, comprising an extension of the shortened statutory period of:

_____ one month (\$55) _____ three months (\$475)

X two months (\$210)

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TOTAL ADDITIONAL FEE FOR THIS AMENDMENT	\$ 210.00
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_____ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

_____ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

_____ A check in the amount of \$ _____ is attached.

X Charge \$ 210.00 to deposit account number 500345 and credit any overpayment or debit any underpayment to the same deposit account 500345.

_____ No additional fee is required, but if any fees are incurred regarding this matter kindly charge deposit account number 500345.

6/14/04
date

Kenneth C. Brooks
Kenneth C. Brooks
Reg. No. 38393
P.O. Box 10417
Austin, Texas 78766-1417
Telephone: 512-527-0104
Facsimile: 512-527-0107

I hereby certify that this correspondence and all correspondence identified as accompanying this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22304-1450 on June 14, 2004.

Alexis Sheffield
Alexis Sheffield